

Compactness for Lax Idempotent Monads

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Overview

We study different instances of compactness for different lax-idempotent monads.

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- An abstract framework for studying compactness for Lax-Idempotent monads

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- An abstract framework for studying compactness for Lax-Idempotent monads
- An application to opfibrations

Compactness for poset-enriched categories

Familiar properties:

- In a Poset admitting all directed joins (DCPO) D , $x \in D$ is called **compact** if for any directed S , we have:

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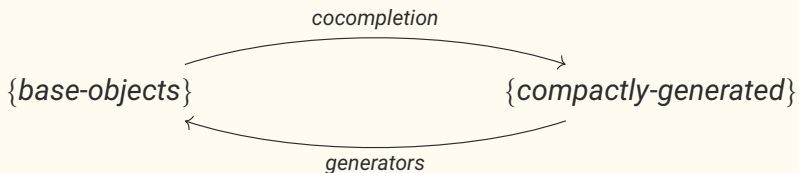
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With related theorems:

- **Pos** is equivalent to the category of algebraic DCPO's with DCPO morphisms preserving compact elements
- **Pos** is equivalent to the category of Sup-Lattices generated by their completely join prime elements with sup lattice morphisms preserving these.

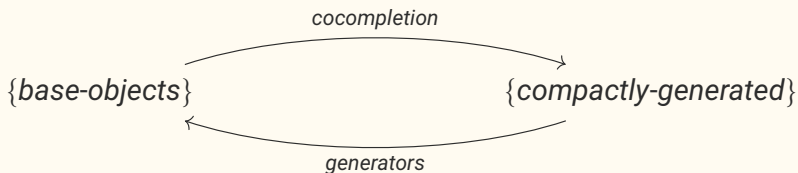
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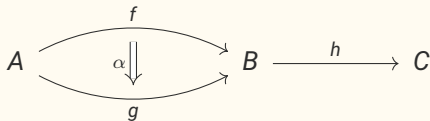
We want to understand equivalences of the shape:



This is for example the shape of Gabriel-Ulmer Duality

Preliminaries: 2-Categories

Intuition. A **2-category** is a category-like structure with objects, arrows and 2-cells which are arrows between arrows.



Examples:

Pos: Posets, order preserving functions, pointwise comparisons

Cat: Locally small categories, functors, natural transformations

Preliminaries: Adjunctions in 2-Categories

Definition. An **adjunction** $f \dashv g$ in a 2-category is a pair of arrows $f : a \rightarrow b, g : b \rightarrow a$ with 2-cells $\eta : 1 \Rightarrow gf$ and $\epsilon : fg \Rightarrow 1$ satisfying the triangle identities:

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 & \searrow \eta & \downarrow g \\
 & id & \nearrow \epsilon \\
 & & A \xrightarrow{f} B
 \end{array}
 \quad = \quad
 A \xrightarrow{f} B$$

$$\begin{array}{ccc}
 B & \xrightarrow{g} & A \\
 & \searrow \epsilon & \downarrow f \\
 & id & \nearrow \eta \\
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1. for **categories**: freely adjoining a class of colimits
2. for **posets**: freely adding joins
3. for **multicategories**: freely making them representable
4. for **hyperdoctrines**: freely adding existential quantification between the fibers

Basic notions of Lax-Idempotent Monads

Definition.¹ A (pseudo) **2-monad** on a 2-category \mathcal{K} , consists of the following data:

¹Blackwell, R., Kelly, G. M., & Power, A. J. (1989). **Two-dimensional monad theory**. *J. Pure Appl. Algebra*, 59(1), 1–41.
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- a pseudo-2-functor $T : \mathcal{K} \rightarrow \mathcal{K}$
- pseudo-natural transformations $\mu : T^2 \rightarrow T$ and $\eta : 1 \rightarrow T$
- invertible modifications:

A commutative square diagram representing the multiplication modification. The top-left node is T^3 , the top-right node is T^2 , the bottom-left node is T^2 , and the bottom-right node is T . The top horizontal arrow is labeled μT . The bottom horizontal arrow is labeled μ . The left vertical arrow is labeled $T\mu$. The right vertical arrow is labeled μ . A diagonal arrow from T^3 to T is shown with a double line, indicating it is invertible.

A commutative triangle diagram representing the unit modification. The top-left node is T , the top-right node is T^2 , and the bottom node is T . The top horizontal arrow is labeled ηT . The bottom horizontal arrow is labeled $T\eta$. The left vertical arrow is labeled id . The right vertical arrow is labeled id . A diagonal arrow from T^2 to T is shown with a double line, indicating it is invertible.

Satisfying coherence axioms

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Basic Notions of Lax-Idempotent monads

Definition. A pseudo 2-monad $(T : \mathcal{K} \rightarrow \mathcal{K}, \mu, \eta)$ on a 2-category \mathcal{K} is **lax-idempotent** (or KZ) when we have that for every object $X \in \mathcal{K}$:

$$\begin{array}{ccc} & T^2X & \\ \begin{array}{c} \nearrow \\ \dashv \\ \searrow \end{array} & \begin{array}{c} \downarrow \\ \dashv \\ \downarrow \end{array} & \begin{array}{c} \nwarrow \\ \dashv \\ \swarrow \end{array} \\ T\eta_X & & \eta_{TX} \\ & TX & \end{array}$$

¹Kock, A. (1995). **Monads for which structures are adjoint to units.** *J. Pure Appl. Algebra*, 104(1), 41–59

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This adjoint cylinder induces a 2-cell $\theta : T\eta \Rightarrow \eta T$, so the idempotence becomes **lax**

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Examples of Lax-Idempotent Monads on Categories

$$\mathcal{P} : \mathbf{Cat} \rightarrow \mathbf{Cat}$$

small colimit completion, μ : colimit, η : yoneda embedding

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Non-example. Free monoidal category on a category

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$$\mathcal{D} : \mathbf{Pos} \rightarrow \mathbf{Pos}$$

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$$\mathbf{Idl} : \mathbf{Pos} \rightarrow \mathbf{Pos}$$

ideals, μ : directed join, η : principal ideal

Algebras of Lax-Idempotent Monads

Proposition.² The (pseudo-) algebras of a lax-idempotent monad $(T : B \rightarrow B, \mu, \eta)$ are pairs $(X, \alpha : TX \rightarrow X)$ such that $\alpha \dashv \eta_X$ and $\alpha\eta_X \cong id$.

- For \mathcal{P} : cocomplete categories

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- For \mathcal{D} : complete join-semi lattices (Sup-Lattices)

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For l.i. monads **being an algebra is a property!**

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Forms of compactness

For a Sup-Lattice X , $x \in X$ is completely join prime if:

$$x \leq \bigvee S \Leftrightarrow \exists s \in S : x \leq s$$

When S is downwards closed we can restate this as:

$$x \leq \bigvee S \Leftrightarrow \downarrow x \subseteq S$$

So the "compact" elements with respect to the down-set monad are the ones where the unit η_X behaves like a left adjoint to the algebra α .

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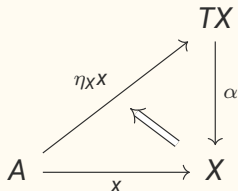
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General compactness

Definition. A morphism $x : A \rightarrow X$ for an algebra (X, α) is *T-compact* if we have that:

$$\frac{\eta_X X \Rightarrow u}{x \Rightarrow \alpha u}$$

natural in A . Formally this means that:



is an absolute left lifting diagram, where the 2-cell is part of the iso $\alpha \eta_X \cong id$.

General compactness on Categories

- For \mathfrak{X} cocomplete, $x : \mathbf{1} \rightarrow \mathfrak{X} : x$ is \mathcal{P} -compact iff it is **atomic** in the sense that

$$\mathrm{hom}(x, -) : \mathfrak{X} \rightarrow \mathbf{SET}$$

preserves small colimits.

- For \mathfrak{X} ind-cocomplete, $x : \mathbf{1} \rightarrow \mathfrak{X}$ is **Ind**-compact when it is a **compact**-object, i.e.

$$\mathrm{hom}(x, -) : \mathfrak{X} \rightarrow \mathbf{SET}$$

preserves all filtered colimits.

General compactness on Posets

- For a DCPO D , $x : \mathbf{1} \rightarrow D$ is **ldl**-compact when it is a **compact**-element, i.e.

$$x \leqslant (-) : D \rightarrow 2$$

preserves directed joins

- For a Sup-Lattice L , $x : \mathbf{1} \rightarrow L$ is **\mathcal{D}** -compact when it is a **completely join prime**-element, i.e.

$$x \leqslant (-) : L \rightarrow 2$$

preserves all joins

Opfibration Monad

Definition. For $F : E \rightarrow B$, we define the **comma category** $F \downarrow B$ with objects $f : Fe \rightarrow b$ and morphisms commuting squares.

$$\begin{array}{ccc} e & & Fe \xrightarrow{f} b \\ g \downarrow & & \downarrow Fg \quad \quad \downarrow h \\ e' & & Fe' \xrightarrow{f'} b' \end{array}$$

Opfibration Monad

Proposition.³ There is a lax-idempotent monad $\mathbf{OP} : \mathbf{Cat}/B \rightarrow \mathbf{Cat}/B$ defined on objects by taking:

$$(F : E \rightarrow B) \mapsto (F \downarrow B \rightarrow B)$$

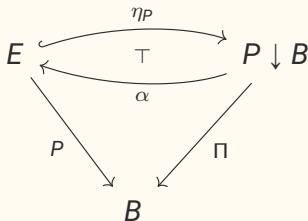
The unit $\eta_F : E \rightarrow F \downarrow B$ takes $e \mapsto id_{F(e)} : F(e) \rightarrow F(e)$

The multiplication μ acts via composition.

³Kock, A. (2013). **Fibrations as Eilenberg-Moore algebras.** *arXiv*.
<https://doi.org/10.48550/arXiv.1312.1608>

Opfibration Monad

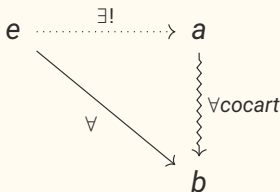
A $p : E \rightarrow B$ is an **OP-algebra** if $\eta_P : E \rightarrow P \downarrow B$ has a left adjoint which commutes with the projections.



Thus each $f : P(e) \rightarrow b$ has a specified cocartesian lift $e \rightarrow \alpha(f)$ given by the unit of the adjunction $\alpha \dashv \eta_P$.

General compactness for opfibrations

For a (split) opfibration $p : E \rightarrow B$, $e \in E$ is **OP-compact** if we have the following lifting property against **cocartesian arrows**:



In other words, e is **OP-compact** iff it is left orthogonal to cocartesian arrows in E .

Enough compact objects

Definition. An opfibration $p : E \rightarrow B$ has enough OP-compact objects when every $e \in E$ is the codomain of a cocartesian arrow with compact domain.

Proposition. An opfibration $p : E \rightarrow B$ with enough OP-compact objects is free, i.e. of the form $\text{OP}(f)$ for some $f : C \rightarrow B$.

Mnemonic Monads

Proposition. For $T = \mathbf{OP}, \mathbf{Idl}, \mathcal{D}$ we have that $\mathbf{K}TX \simeq X$, where $\mathbf{K}(X, \alpha)$ is the universal compact arrow relative to the algebra (X, α) .

Non-example. For the \mathcal{P} , $\mathbf{K}TX \not\simeq X$ in general. (Cauchy completion)

Definition. A lax-idempotent monad T is **mnemonic** when for any X , $\mathbf{K}TX \simeq X$.

Proposition. T is mnemonic iff the unit of the monad is the inverter of the 2-cell depicted:

$$\begin{array}{ccc} & T^2X & \\ T\eta_X \uparrow & \xRightarrow{\theta} & \uparrow \eta_{TX} \\ & TX & \\ \eta_X \uparrow & & \\ & X & \end{array}$$

Conclusions

What we saw:

- An abstract criterion for compactness
- A way of using it to extract theorems about free algebras

Ongoing work:

- Understand the lax idempotent monad on multicategories through this lens
- Way-Below arrows and Continuous Algebras